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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/897,910	07/03/2001	Richard Stirling-Gallacher	282651US8X	1395

22850 7590 05/07/2007  
OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C.  
1940 DUKE STREET  
ALEXANDRIA, VA 22314

EXAMINER
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DEAN, RAYMOND S

ART UNIT	PAPER NUMBER
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2618

NOTIFICATION DATE	DELIVERY MODE
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05/07/2007

ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@oblon.com  
oblonpat@oblon.com  
jgardner@oblon.com

## Office Action Summary

**Application No.**

09/897,910

**Applicant(s)**

STIRLING-GALLACHER ET AL.

**Examiner**

Raymond S. Dean

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 20 February 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 23-30 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 23-30 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 03 July 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
- 1) ☒ Certified copies of the priority documents have been received.
  - 2) ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - 3) ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on February 20, 2007 has been entered.

### ***Response to Arguments***

2. Applicant's arguments, see remarks filed February 20, 2007 with respect to the rejection(s) of claim(s) 23, 27 under 35 U.S.C. 103(a) have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of newly found prior art Li (US 6,654,429) and Mitra et al. (5,533,063).

Li teaches a device for receiving signals in a wireless cellular orthogonal frequency division multiplex (OFDM) system, in which data symbols are transmitted in frequency subcarriers and timeslots (Figure 2, Col. 3 lines 30 – 39), comprising: a channel estimator configured to perform a channel estimation on the basis of received pilot symbols (Cols. 4 lines 35 – 67, 5 lines 1 – 21); and a filter configured to perform a channel estimation for data symbols between pilot symbols (Cols. 4 lines 35 – 67, 5

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lines 1 – 21), an estimated carrier being a wanted carrier power value at a frequency subcarrier and a timeslot of a data symbol to be channel estimated, and said interference value is an interference reference value (Cols. 4 lines 35 – 67, 5 lines 1 – 21, channel estimation takes into account various characteristics of a channel such as adjacent and co-channel interference, along with noise and background effects, the effects of said interference is quantized using the carrier to interference ratio (CIR) thus channel estimation takes into account the CIR). Mitra teaches said filter being selected from a set of filters based on the estimated carrier to interference ratio (Cols. 1 lines 66 – 67, 2 lines 1 – 2, there are a plurality of sets of filter coefficients due to the change in channel impulse response thus plurality of filters, the channel impulse response is an estimation of the channel, which as detailed above, takes into account the carrier to interference ratio (CIR)). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the system of Li with the filtering technique of Mitra for the purpose of extracting a signal of interest from interfering multipath and Doppler spread signals which does not result in an unacceptable increase in noise as taught by Mitra.

### ***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

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4. Claims 23 – 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Li (US 6,654,429) in view of Mitra et al. (5,533,063).

Regarding Claim 23, Li teaches a device for receiving signals in a wireless cellular orthogonal frequency division multiplex (OFDM) system, in which data symbols are transmitted in frequency subcarriers and timeslots (Figure 2, Col. 3 lines 30 – 39), comprising: a channel estimator configured to perform a channel estimation on the basis of received pilot symbols (Cols. 4 lines 35 – 67, 5 lines 1 – 21); and a filter configured to perform a channel estimation for data symbols between pilot symbols (Cols. 4 lines 35 – 67, 5 lines 1 – 21), an estimated carrier being a wanted carrier power value at a frequency subcarrier and a timeslot of a data symbol to be channel estimated, and said interference value is an interference reference value (Cols. 4 lines 35 – 67, 5 lines 1 – 21, typical OFDM systems comprise timeslots, channel estimation takes into account various characteristics of a channel such as adjacent and co-channel interference, along with noise and background effects, the effects of said interference is quantized using the carrier to interference ratio (CIR) thus channel estimation takes into account the CIR).

Li does not teach said filter being selected from a set of filters based on the estimated carrier to interference ratio.

Mitra teaches said filter being selected from a set of filters based on the estimated carrier to interference ratio (Cols. 1 lines 66 – 67, 2 lines 1 – 2, there are a plurality of sets of filter coefficients due to the change in channel impulse response thus

plurality of filters, the channel impulse response is an estimation of the channel, which as detailed above, takes into account the carrier to interference ratio (CIR)).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the system of Li with the filtering technique of Mitra for the purpose of extracting a signal of interest from interfering multipath and Doppler spread signals which does not result in an unacceptable increase in noise as taught by Mitra.

Regarding Claim 27, Li teaches a method for channel estimation in a wireless cellular orthogonal frequency division multiplex (OFDM) system, in which data symbols are transmitted in frequency subcarriers and timeslots (Figure 2, Col. 3 lines 30 – 39), comprising: performing a channel estimation on the basis of received pilot symbols (Cols. 4 lines 35 – 67, 5 lines 1 – 21); and performing, by a filter, a channel estimation for data symbols between pilot symbols (Cols. 4 lines 35 – 67, 5 lines 1 – 21), an estimated carrier being a wanted carrier power value at a frequency subcarrier and a timeslot of a data symbol to be channel estimated, and said interference value is an interference reference value (Cols. 4 lines 35 – 67, 5 lines 1 – 21, typical OFDM systems comprise timeslots, channel estimation takes into account various characteristics of a channel such as adjacent and co-channel interference, along with noise and background effects, the effects of said interference is quantized using the carrier to interference ratio (CIR) thus channel estimation takes into account the CIR).

Li does not teach said filter being selected from a set of filters based on the estimated carrier to interference ratio.

Mitra teaches said filter being selected from a set of filters based on the estimated carrier to interference ratio (Cols. 1 lines 66 – 67, 2 lines 1 – 2, there are a plurality of sets of filter coefficients due to the change in channel impulse response thus plurality of filters, the channel impulse response is an estimation of the channel, which as detailed above, takes into account the carrier to interference ratio (CIR)).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the system of Li with the filtering technique of Mitra for the purpose of extracting a signal of interest from interfering multipath and Doppler spread signals which does not result in an unacceptable increase in noise as taught by Mitra.

Regarding Claims 24, 28, Li in view of Mitra teaches all of the claimed limitations recited in Claims 23, 27. Li further teaches a carrier to interference ratio at the frequency subcarrier and the timeslot of the data symbol to be channel estimated (Cols. 4 lines 35 – 67, 5 lines 1 – 21, typical OFDM systems comprise timeslots, channel estimation takes into account various characteristics of a channel such as adjacent and co-channel interference, along with noise and background effects, the effects of said interference is quantized using the carrier to interference ratio (CIR) thus channel estimation takes into account the CIR). Mitra teaches means for selecting said filter based on the estimated carrier to interference ratio (Cols. 1 lines 66 – 67, 2 lines 1 – 2, there are a plurality of sets of filter coefficients due to the change in channel impulse response thus plurality of filters, the channel impulse response is an estimation of the

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channel, which as detailed above, takes into account the carrier to interference ratio (CIR)).

Regarding Claims 25, 29, Li in view of Mitra teaches all of the claimed limitations recited in Claims 24, 28. Li further teaches a frequency filter that is selected on the basis of a difference vector between frequency subcarriers adjacent to the frequency subcarrier of the data symbol to be channel estimated (Col. 2 lines 36 – 45, 2-D filter comprises a frequency filter).

Regarding Claims 26, 30, Li in view of Mitra teaches all of the claimed limitations recited in Claims 24, 28. Li further teaches a time filter (Col. 2 lines 36 – 45, 2-D filter comprises a time filter). Mitra further teaches means for selecting selects a filter based on a Doppler frequency of the estimated channel (Col. 2 lines 39 – 40, the filter takes into account the Doppler characteristics).

### ***Conclusion***

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Raymond S. Dean whose telephone number is 571-272-7877. The examiner can normally be reached on Monday-Friday 6:00-2:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Edward F. Urban can be reached on 571-272-7899. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

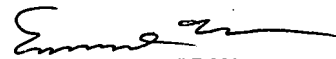


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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



Raymond S. Dean  
April 25, 2007



EDWARD F. URBAN  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2600